

COLUMBIA COUNTY INDUSTRIAL DEVELOPMENT AGENCY CODE OF ETHICS

This Code of Ethics shall apply to all Members and employees of the Columbia County Industrial Development Agency. These policies shall serve as a guide for official conduct and are intended to enhance the ethical and professional performance of the Authority's members and employees and to preserve public confidence in the Authority's mission.

Responsibility of Members and Employees:

1. Members and employees shall perform their duties with transparency, without favor and refrain from engaging in outside matters of financial or personal interest, including other employment, that could impair independence of judgment, or prevent the proper exercise of one's official duties.
2. Members and employees shall not directly or indirectly, make, advise, or assist any person to make any financial investment based upon information available through the member's or employee's official position that could create any conflict between their public duties and interests and their private interests.
3. Members and employees shall not accept or receive any gift or gratuities where the circumstances would permit the inference that: (a) the gift is intended to influence the individual in the performance of official business or (b) the gift constitutes a tip, reward, or sign of appreciation for any official act by the individual. This prohibition extends to any form of financial payments, services, loans, travel reimbursement, entertainment, hospitality, thing or promise from any entity doing business with or before the authority.
4. Members and employees shall not use or attempt to use their official position with the authority to secure unwarranted privileges for themselves, members of their family or others, including employment with the Authority or contracts for materials or services with the Authority.
5. Members and employees must conduct themselves at all times in a manner that avoids any appearance that they can be improperly or unduly influenced, that they could be affected by the position of or relationship with any other party, or that they are acting in violation of their public trust.
6. Members and employees may not engage in any official transaction with an outside entity in which they have a direct or indirect financial interest that may reasonably conflict with the proper discharge of their official duties.
7. Members and employees shall manage all matters within the scope of the Authority's mission independent of any other affiliations or employment. Members, including ex officio board members, and employees employed by more than one government shall strive to fulfill their professional responsibility to the authority without bias and shall support the Authority's mission to the fullest.

8. Members and employees shall not use Authority property, including equipment, telephones, vehicles, computers, or other resources, or disclose information acquired in the course of their official duties in a manner inconsistent with State or local law or policy and the Authority's mission and goals.
9. Members and employees are prohibited from appearing or practicing before the Authority for two (2) years following employment with the Authority, consistent with the provisions of Public Officers Law.

Implementation of Code of Ethics

This Code of Ethics shall be provided to all members and employees upon commencement of employment or appointment and shall be reviewed annually by the Governance Committee. The board may designate an Ethics Officer, who shall report to the board and shall have the following duties:

- Counsel in confidence Authority directors and employees who seek advice about ethical behavior.
- Receive and investigate complaints about possible ethics violations.
- Dismiss complaints found to be without substance.
- Prepare an investigative report of their findings for action by the President/CEO or the board.
- Record the receipt of gifts or gratuities of any kind received by a member or employee, who shall notify the Ethics Officer within 48 hours of receipt of such gifts and gratuities.

Penalties

In addition to any penalty contained in any other provision of law, an Authority member or employee who knowingly and intentionally violates any of the provisions of this code may be removed in the manner provided for in law, rules or regulations.

Reporting Unethical Behavior

Employees and members are required to report possible unethical behavior by a member or employee of the Authority to the Ethics Officer. Employees and members may file ethics complaints anonymously and are protected from retaliation by the policies adopted by the Authority.

Adopted 12/1/15